

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE: Application of Paul Kenneth RAND

Serial No.: To be assigned

Art Unit: To be assigned

Filing Date: Concurrently herewith

Examiner: To be assigned

For: *Chain Linked Capsules*

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request that the references identified on Form PTO-1449 appended hereto be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97

- ☐ Copies of the references are enclosed
☐ Copies of the references were submitted in parent application Serial No. _____. (37 CFR 1.98(d))
☒ A copy of the International Search Report which issued on International Application No. _____ PCT/EP2004/004007 is submitted herewith. All of the publications cited in the International Search Report are listed on the attached form PTO-1449 and Applicants understand that copies have been supplied to the U.S. Patent Office by the International Bureau.

- A. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the above application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

OR

- ☐ The Information Disclosure Statement submitted herewith is being filed before the mailing of a first office action after the filing of a Request For Continued Examination under 37 C.F.R. 1.114 (37 C.F.R. 1.97(b)(4)).

- B. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three months of the filing date of the above application or the date of entry into the national stage as set forth in § 1.491 of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last, but **before** the mailing date of either:
(1) a final action under § 1.113 or.
(2) a notice of allowance under § 1.311,
whichever occurs first.

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

- ☐ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under § 1.97(c) (\$180.00).

- C. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee. Also enclosed is a copy of the International Search Report which Issued on International Publication No.


In accordance with the requirements of 37 CFR 1.97(d):

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. **[or]**
- ☐ Applicant hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement; and
- ☐ The petition fee set forth in § 1.17(i)(1) (\$180.00) is submitted herewith.

☒ Please charge any required fees to Deposit Account No.07-1392.

☐ A duplicate copy of this paper is attached.

Respectfully Submitted,



John Scott Young
Attorney of Record
Registration No. 45,582

Date: 27
26-SEP-2005
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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT					SERIAL NO.		To be assigned	
					FILING DATE		Concurrently herewith	
					APPLICANT		Paul Kenneth RAND	
					GROUP		To be assigned	
					EXAMINER		To be assigned	
					ATTORNEY DOCKET NO.		PB60091USw	
U.S. PATENT DOCUMENTS								
Examiner Initials		Patent Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	1.	1,410,556	03/28/1922	DORMENT				
	2.	4,095,587	06/20/1978	ISHIKAWA				
	3.	4,815,625	03/28/1989	FILHOL et al.				
	4.	5,562,918	10/08/1996	STIMPSON				
	5.	5,778,873	07/14/1998	BRAITHWAITE				
Continue on page _____								
FOREIGN PATENT DOCUMENTS								
		Document Number	Publication Date	Country	Class	Subclass	Translation Yes No	
	6.	959383	06/03/1964	GB				
	7.	01/30430	05/03/2001	PCT				
	8.	2004/045688	06/03/2004	PCT				
Continue on page _____								
OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)								
Continue on page _____								
EXAMINER						DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.								